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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 7,111,782

Issue Date: September 26, 2006

Inventor(s): John HOMEWOOD; Thomas KEELING; Paul TERWILLIGER; Marc  
LATOIRTitle: SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING  
MACHINE

Docket No.: 115601

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. §3.81(b)Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is respectfully requested that a Certificate of Correction be issued in order to correct the errors specified in the attached copy of Certificate of Correction Form PTO-1050.

The assignment was submitted for recordation, as set forth in 37 CFR § 3.11, before issuance of the patent. Attached is a copy of the Notice of Recordation of Assignment Document showing the Assignment was recorded on August 2, 2004 beginning at Reel 015030, Frame 0585 of the U.S. Patent and Trademark microfilm records. The failure to include the Assignee's name and firm name on the PTOL-85B was inadvertent.

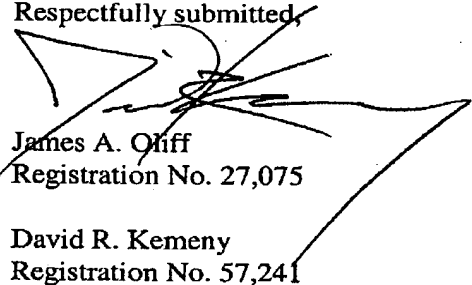
Please charge our Deposit Account No. 15-0461 in the amount of One Hundred and Thirty Dollars (\$130.00) in accordance with the provisions of 37 C.F.R. §1.117(h). The \$100.00 fee associated with the provisions of 37 C.F.R. §1.323 was submitted on October 5, 2006, as acknowledged by the enclosed November 6, 2006 Patent Office communication. In the event of any underpayment or overpayment, please debit or credit Deposit Account No. 15-0461 as needed in order to ensure prompt issuance of a Certificate of Correction. Two duplicate copies of this Request are attached.

11/28/2006 SLUANG2 00000004 150461 7111782

01 FC:1464 130.00 DA

It is also requested that his file be forwarded to Certificates of Correction Branch for issuance of the Certificate of Correction if the Request is granted.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075

David R. Kemeny  
Registration No. 57,241

JAO:DRK/kxs

Date: November 27, 2006

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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**OLIFF & BERRIDGE, PLC**

ATTORNEYS AT LAW

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NOV 27 2006

277 SOUTH WASHINGTON STREET  
ALEXANDRIA, VIRGINIA 22314

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FACSIMILE: (703) 836-2787

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WWW.OLIFF.COM

November 27, 2006

U.S. PATENT AND TRADEMARK OFFICE  
FACSIMILE TRANSMISSION COVER SHEET

<b>To:</b> In re the Application of  John HOMEWOOD et al.  <b>Patent No.:</b> 7,111,782  <b>Issued:</b> September 26, 2006  <b>For:</b> SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING MACHINE	<b>FOR FILING IN THE U.S. PATENT AND TRADEMARK OFFICE</b>  <b>ATTN:</b> Office of Petitions  <b>Docket No.:</b> 115601
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**To:** Office of Petitions**Facsimile:** (571) 273-8300**From:** D. Kemeny**Prepared By:** kxs**Number of Pages Sent (Including cover sheet):** 10**Comments:**

Attached is Request for Certificate of Correction under 37 C.F.R. §3.81(b)

The following papers are attached:

- a. Request for Certificate of Correction--3 pages
- b. Copy of Notice of Recordation of Assignment--4 pages
- c. Copy of Patent Office communication--2 pages

The U.S. Patent and Trademark Office is authorized to debit Deposit Account No. 15-0461 for any fees associated with this response.**Sent by:** \_\_\_\_\_

This facsimile is intended only for the use of the U.S. Patent and Trademark Office and contains confidential information. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are notified that any review, dissemination, distribution or copying of this facsimile is prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or telephone, and return the facsimile to us by mail at the above address.

PTO/SB/44 (02-01)

Approved for use through 1/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,111,782

DATED : September 26, 2006

INVENTOR(S) : John HOMEWOOD; Thomas KEELING; Paul TERWILLIGER; Marc LATOUR

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Should read as follows:

Sequoia Voting Systems Inc. Oakland, California

(74) Should read as follows:

Oliff &amp; Berridge PLC

MAILING ADDRESS OF SENDER: OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

PATENT NO. 7,111,782

No. of additional copies



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NOV-27-2006 10:38  
Received at: Bureau, USPTO

OLIFF &amp; BERRIDGE, PLC

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*700104878\*

AUGUST 25, 2004

PTAS

OLIFF & BERRIDGE, PLC  
JAMES A. OLIFF  
P.O. BOX 19928  
ALEXANDRIA, VA 22320

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/02/2004

REEL/FRAME: 015030/0585  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 115601

ASSIGNOR:  
HOMWOOD, JOHN PAUL

DOC DATE: 05/24/2004

ASSIGNOR:  
KEELING, THOMAS E.

DOC DATE: 05/20/2004

ASSIGNOR:  
TERWILLIGER, PAUL DAVID

DOC DATE: 05/19/2004

ASSIGNOR:  
LATOUR, MARK R.

DOC DATE: 05/21/2004

ASSIGNEE:  
SEQUOIA VOTING SYSTEMS INC.  
7767 OAKPORT STREET  
SUITE 800  
OAKLAND, CALIFORNIA 94621

NOV-27-2006 10:38  
Received at: 6:05PM, 8/25/2004

OLIFF & BERRIDGE, PLC

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8/25/04 6:04 PAGE 003/004

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015030/0585 PAGE 2

SERIAL NUMBER: 10811969

FILING DATE: 03/30/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING MACHINE

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RightFax

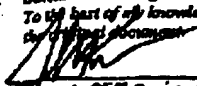
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<b>Mail Stop:</b> Assignments: Recordation Services Director of the U.S. Patent and Trademark Office P.O. Box 1458 Alexandria, VA 22313-1458		<b>RECORDATION FORM COVER SHEET</b> PATENTS ONLY		<b>U.S. DEPARTMENT OF COMMERCE</b> Patent and Trademark Office	
				Attorney Docket No. <u>115601</u>	
Please record the attached document.					
Total number of pages including cover sheet, attachments, and document: <u>2</u>					
<b>1. A. Name of conveying parties:</b> John Paul HOMEWOOD Thomas E. KEELING Paul David TERWILLIGER Mark R. LATOUR			<b>2. A. Name and address of receiving party:</b> SEQUOIA VOTING SYSTEMS INC. 7767 OAKPORT STREET SUITE 800 OAKLAND, CA 94621		
<b>B. Additional name(s) of conveying party(ies) attached?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			<b>B. Additional name(s) &amp; address(es) attached?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>3. A. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____					
<b>B. Execution Date:</b> J. Homewood - 03/24/04 T. Keeling - 03/20/04 P. Terwilliger - 03/19/04 M. Latour - 03/21/04					
<b>4. A. Patent Application No.</b> <u>10/811,969</u>				<b>B. Patent No.</b> <u>00</u>	
				<b>Additional numbers attached?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>C. Title of Application:</b> <u>AN IMPROVED VOTING MACHINE</u>					
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b>  Name: <u>James A. Oliff</u>  Address: <u>OLIFF &amp; BERRIDGE, PLC</u> <u>P.O. Box 19928</u> <u>Alexandria, VA 22328</u> <u>Phone Number: 703-836-6489</u> <u>Fax Number: 703-836-2787</u>			<b>6. Total number of applications and patents involved:</b> <u>1</u>		
			<b>7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of \$40.00.</b>		
			<b>8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.</b>		
<b>9. Statement and signature.</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  James A. Oliff, Registration No. 27,075 John S. Kero, Registration No. 42,719 Date: <u>August 2, 2004</u>					

## ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) John Paul HOMEWOOD (5) \_\_\_\_\_

(2) Thomas E. KEELING (6) \_\_\_\_\_

(3) Paul David TERWILLIGER (7) \_\_\_\_\_

(4) Mark R. LATOUR (8) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, each undersigned agrees to assign, and hereby does assign, transfer and set over to

(9) Insert Name of Assignee  
(10) Insert Address of Assignee

(9) Sequoia Voting Systems Inc

(10) 7767 Oakport Street, Suite 800, Oakland CA 94621, USA

(hereinafter designated as the Assignee) and Assignee's heirs, successors, assigns and legal representatives, the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, substitute, and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(11) Insert Identification such as Title, Case Number, or Foreign Application Number

(11) SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING MACHINE

(Attorney Docket No. 115601)

for which the undersigned has (have) executed an application for patent in the United States of America on even date herewith or

(12) Insert Date of Signing of Application

(12) on \_\_\_\_\_

(13) Alternative Identification for file applications

(13) U.S. application Serial Number 10/811,969

filed March 30, 2004

1) Each undersigned agrees to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary.

2) Each undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) Each undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or proceedings of the International Convention for Protection of Industrial Property or similar agreements.

4) Each undersigned agrees to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.

5) Each undersigned authorizes and requests the Commissioner of the U.S. Patent and Trademark Office to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and covenants that he has full right to assign the entire interest herein assigned, and that he has not executed, and will not execute, any agreements in conflict herewith, and agrees that this assignment is binding on him and his heirs, successors, assigns and legal representatives.

6) Each undersigned hereby grants the firm of OLIFF & BERRIDGE, PLC the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>5/24/04</u>	Inventor Signature <u>[Signature]</u> (SEAL)
Date <u>5/20/04</u>	Inventor Signature <u>[Signature]</u> (SEAL)
Date <u>5/19/04</u>	Inventor Signature <u>[Signature]</u> (SEAL)
Date <u>5-21-04</u>	Inventor Signature <u>[Signature]</u> (SEAL)
Date _____	Inventor Signature _____ (SEAL)
Date _____	Inventor Signature _____ (SEAL)
Date _____	Inventor Signature _____ (SEAL)
Date _____	Inventor Signature _____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date <u>5-24-04</u>	Witness <u>[Signature]</u>
Date <u>5-24-04</u>	Witness <u>[Signature]</u>





## UNITED STATES PATENT AND TRADEMARK OFFICE

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November 6, 2006

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Patent No. : 7,111,782 B2  
Ser. No. : 10/811969  
Inventor(s) : Homewood, et al.  
Issued : September 26, 2006  
Title : SYSTEMS AND METHODS FOR PROVIDING SECURITY IN A VOTING MACHINE  
Docket No. : 115601

NOV - 8 2006

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## Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing *incorrect or erroneous* assignment data, before issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp. 1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of the date the assignment was submitted for recordation.

*In the Request*, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

By fax: (571) 273-8300  
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, , no additional fee is required.

Elisha Evans  
For Cecelia Newman  
Decisions & Certificates  
of Correction Branch  
(703) 308-9390 ext. 110 or (703) 308-8309

OLIFF & BERRIDGE, PLC  
P.O. BOX 19928  
ALEXANDRIA VA 22320

CBN/eme